

18th January 2011

**Trust Board with Council of
Governors Meeting**

**INTERIM AUDIT COMMITTEE
REPORT 2010/11**

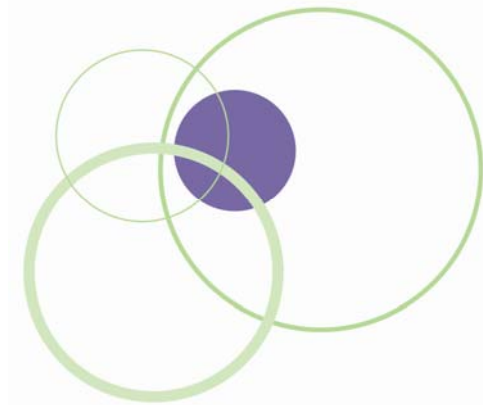
**Geoff Bean
Audit Committee Chair**

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MEETING FREQUENCY

- **6 MEETINGS TO DATE IN 2010/11**
- **ALL QUORATE ALTHOUGH DIFFICULT SINCE MID 2010**
- **FINAL MEETING IN MARCH 2011**

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TERMS OF REFERENCE AMENDED

- **PART OF A CONTINUOUS REVIEW PROCESS**
- **WITH THE GOVERNANCE & RISK COMMITTEE**
- **SPECIFIC ADDITION OF ROLES WITH RESPECT TO:**
 - **QUALITY ACCOUNTS PROCESSES**
 - **CLINICAL AUDIT**
 - **INFORMATION GOVERNANCE**

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PART OF AN INTEGRATED ASSURANCE FRAMEWORK

- FOR EXAMPLE:
- A GOVERNANCE & RISK COMMITTEE ESCALATION RELATING TO CHEST DRAIN INSERTION SUI, AND THE PROCEDURES FOR IMPLEMENTING NPSA ALERTS
- PRESENTATIONS FROM SENIOR CLINICAL STAFF AT THE AUDIT COMMITTEE MEETING OBTAINED ASSURANCE ON THE LEARNING FROM THE SUI
- SOUGHT FURTHER ASSURANCE ON THE IMPLEMENTATION OF ALERTS
- INTEGRATED ASSURANCE ACTION PLANS:
 - G&R COMMITTEE TO MONITOR ALERTS AND ACTIONS TAKEN
 - TRACKING OF ALERTS IN DIVISIONAL QUALITY ACCOUNTS
 - DIVISIONAL CLINICAL AUDIT MEETINGS TO REITERATE DETAILS OF ALERTS

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INTERNAL AUDIT

- **NEW CHIEF INTERNAL AUDITOR**
- **PROGRESS REPORT TO EACH MEETING**
- **IMPROVED QUALITY AND FORMATS OF PROJECT REPORTS**
- **PLANNED 20+ PROJECT REPORTS IN THE YEAR**
- **WORKLOAD WEIGHTED TO FINAL QUARTER**
- **9 PROJECT REPORTS RECEIVED TO END DECEMBER**
- **FOR THE 9 REPORTS RECEIVED**
- **ASSURANCE RATING OF SCOPE COVERED:**
- **1 FULL**
- **6 SIGNIFICANT**
- **2 LIMITED**
- **NUMBER AND LEVEL OF RECOMMENDATIONS (TOTAL 33)**
- **ZERO CRITICAL**
- **4 HIGH**
- **19 MEDIUM**
- **10 LOW**

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COUNTER FRAUD

- **NEW COUNTERFRAUD SPECIALIST**
- **PROGRESS REPORT TO EACH MEETING**
- **REPORT QUALITY IMPROVED**
- **FURTHER FOCUS ON OUTCOMES**
- **IMPROVEMENT SOUGHT ON SPEED OF FOLLOW UP ON INVESTIGATIONS**
- **CFS ARE USING THE TRUST'S COMMUNICATION MEDIA TO PROMOTE AWARENESS**
- **INTEGRATING CF TRAINING WITH INDUCTIONS AND OTHER**
- **SPECIFIC TRAINING**

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EXTERNAL AUDIT

- **NEW AUDITORS – DELOITTE**
- **BOARD ASSURANCE FRAMEWORK TRAINING**
- **ATTEND THE AUDIT COMMITTEE**
- **ARE WORKING WITH FINANCE DIRECTOR AND HIS TEAM ON PLANNING THE YEAR END AUDIT**
- **ARE CARRYING OUT PREPARATION AND BACKGROUND WORK**



NEXT REPORT PROBABLY MAY 2011

COVERING:

**FULL YEAR PROGRESS
AND
2011 AUDIT OUTCOMES**

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